

Susquehanna University

Financial Conflict of Interest (FCOI) Disclosure Form
National Science Foundation (NSF)

Name:	
Department:	
Email:	
Campus Phone:	
Date:	

DISCLOSURE FOR NSF PROPOSALS AND FUNDED PROJECTS

All Principal Investigators (PIs) and all other investigators/personnel who have or share responsibility for the design, conduct, or reporting of NSF sponsored projects must disclose all significant financial interests of the investigator (including those of the investigator’s spouse/registered domestic partner and dependent children) (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for funding by NSF; or (ii) in entities whose financial interests would reasonably appear to be affected by such activities.

Significant financial interests are involved and must be disclosed where one or more of the following financial interests of the investigator (and those of the investigator’s spouse/domestic partner and dependent children) reasonably appears to be related to the investigator’s Institutional responsibilities.

A *significant financial interest* exists if an equity interest that, when aggregated for the investigator and the investigator’s spouse/domestic partner and dependent children, **exceeds \$10,000** in value as determined through reference to public prices or other reasonable measures of market value, and/or represent **more than a 5%** ownership interest in any single entity.

A *significant financial interest* exists when salary, royalties or other payments that, when aggregated for the investigator and the investigator’s spouse/domestic partner and dependent children, **have exceeded or are expected to exceed \$10,000** during any immediately preceding or following 12 month period.

For NSF, significant financial interest **does not** include:

- Salary, royalties or other remuneration from Susquehanna University
- Income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities
- Income from service on advisory committees or review panels for public or nonprofit entities
- Equity interest and/or salary, royalty and other payments do not exceed the thresholds in the section regarding NSF immediately above

Susquehanna University

NSF CERTIFICATION AND DISCLOSURE

Project Status/Reason for Disclosure (circle one):

New Submission (<i>Note: NSF classifies resubmissions as new submissions</i>)	New/Change of Investigator
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Title of Proposal:	
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CERTIFICATION

I/we certify that all individuals required to make disclosures of Significant Financial Interests have been listed on this form, and that all have read and understand Susquehanna University's FCOI Policy for NSF, have made all financial disclosures required by it, and will comply with any conditions or restriction imposed by Susquehanna University to manage, reduce, or eliminate actual or potential FCOIs.

TABLE I - Signatures:

<i>(print name)</i>	<i>(signature)</i>
PI:	

All Investigators and personnel who have or share responsibility for the design, conduct, or reporting of this NSF sponsored projects should certify by signing on the table above.

DISCLOSURE

Do you, your spouse or registered domestic partner, and dependent children have a Significant Financial Interest (i) that would reasonably appear to be affected by the research or educational activities funded or proposed for funding by NSF; or (ii) in entities whose financial interests would reasonably appear to be affected by such activities. (*See page 1 for definitions of Significant Financial Interests.*)

1. Response: (circle one) - If "Yes" please describe and attach pdf to derk@susqu.edu

Yes	No
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2. Signature:

<i>(print name)</i>	<i>(signature)</i>

Each individual listed on **Table 1** should fill out the **DISCLOSURE** portion of this form.