

SUSQUEHANNA UNIVERSITY

Financial Conflict of Interest (FCOI) Disclosure Form Public Health Services (PHS) and National Institutes of Health (NIH)

By law (C.F.R. Part 50 Subpart F), all investigators applying for and working on PHS/NIH funded research must disclose significant financial interests (SFIs) that are related to the Investigator's institutional responsibilities. Investigators are ALL persons, regardless of title or position, who are responsible for the design, conduct, or reporting of research proposed for funding by the NIH, including collaborators or consultants.

In making this certification, all Investigators must disclose SFIs related to his/her institutional responsibilities, aggregated for the Investigator and those of the Investigator's spouse, domestic partner and dependent children. SFIs are defined below and all SFIs that meet the listed thresholds listed must be disclosed.

- **SFI in Publicly Traded Entities** – the value of remuneration in the 12 months preceding disclosure and the value of any equity interest as of the date of disclosure that, when aggregated, exceeds \$5,000.
- **SFI in Non-publicly traded entities** – the value of remuneration in the 12 months preceding disclosure exceeding \$5,000; or any equity interest in a non-publically traded entity (e.g., stock option, or other ownership interest).
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- **Reimbursed or Sponsored Travel** – Any travel paid on behalf of the Investigator and not reimbursed directly to Investigator so that the exact monetary value may not be readily available (in the last 12 months). This does not include travel on sponsored projects if charged to a grant account, travel that is sponsored by a Federal, State, or local government agency, or service on advisory or review panels for government agencies or institutions of higher education (IHE) or facilities affiliated with IHEs.

An SFI does NOT include:

- Salary, royalties or other remuneration paid by Susquehanna to the Investigator, if the Investigator is currently employed or otherwise appointed by Susquehanna, including income from IP rights assigned to Susquehanna;
- Income from investment vehicles, such as mutual funds and retirement accounts, as long as the Investigator does not directly control the investment decisions made in these vehicles;
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Investigators **MUST** update disclosures annually during PHS/NIH funded-research and 30 days of discovering or acquiring a new disclosure.

**SUSQUEHANNA UNIVERSITY
FINANCIAL CONFLICT OF INTEREST (FCOI) DISCLOSURE FORM FOR PHS/NIH**

Investigator Name:	
Department:	
Email:	
Campus Phone:	
Date:	

Project Status/Reason for Disclosure (circle one):

New Submission	New/Change of Investigator	Current
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Project Title:	
Due Date (if new):	
Role on Project:	

(PI, Co-PI, Senior/Key Personnel, Consultant, Other Investigator, Unpaid Consultant/Collaborator, Other)

After reading the FCOI Policy regarding PHS/NIH funded projects, please review the following statements. Circle and initial the statement that best describes your FCOI disclosure.

1. I hereby certify that I have read and understand Susquehanna University's PHS/NIH FCOI Policy and understand my responsibility to disclose any significant financial interests (SFI). I certify that to the best of my knowledge neither I, my spouse, partner, nor my dependents hold any SFIs that would reasonably appear to be related to my research, teaching, and service responsibilities to Susquehanna University.
2. I hereby certify that I have read and understand Susquehanna University's PHS/NIH FCOI Policy and understand my responsibility to disclose any significant financial interests (SFI). I have the following relationships, affiliations, activities, or interests which constitute SFIs under Susquehanna University's PHS/NIH FCOI Policy:

Please describe any Significant Financial Interests below or attach additional documentation:

Investigator's Signature: _____ Date: _____